Dear Stakeholders:

If you have comments on this regulatory proposal, please submit them in writing by **Friday, March 29, 2013** by e-mail to <u>laurie.schoder@state.co.us</u> or by fax to 303-753-6214. If you have questions, please call Laurie Schoder at 303-692-2832. Changes are highlighted in <u>yellow</u>.

1	DEPARTMENT OF PUBLIC HEALTH AND ENVIRONMENT
2	Health Facilities Regulation Division
3	STANDARDS FOR HOSPITALS AND HEALTH FACILITIES
4	CHAPTER VI - ACUTE TREATMENT UNITS
5	6 CCR 1011-1 Chap 06
6	
7	1.101 STATUTORY AUTHORITY AND APPLICABILITY
8 9	(1) Authority to establish minimum standards through regulation and to administer and enforce such regulations is provided by Section 25-1.5-103, C.R.S.
10 11	(2) Acute treatment units, as defined herein, shall be in compliance with all applicable federal and state statutes and regulations, including but not limited to, the following:
12	(a) This Chapter VI.
13	(b) The following parts of 6 CCR 1011-1, Chapter II, General Licensure Standards:
14 15	(i) Part 1, Submission of Construction Plans/Documents and Completion of the Plan Review Process.
16	(ii) Part 2, Licensure Process.
17	(iii) Part 3.2, Occurrence Reporting
18	(iviii) Part 4, Waiver of Regulations for Health Facilities
19 20	(3) This chapter applies to services provided by acute treatment units, including services provided through contracts.
21	1.102 DEFINITIONS.
22	For purposes of this chapter, the following definitions shall apply, unless the context requires otherwise:
23 24 25 26 27	(1) "Acute treatment unit" means a facility or a distinct part of a facility for short-term psychiatric care, which may include substance abuse treatment, and which provides a total, twenty-four-hour therapeutically planned and professionally staffed environment for persons who do not require inpatient hospitalization but need more intense and individual services than are available on an outpatient basis, such as crisis management and stabilization services.
28 29	(2) "Auxiliary aid" means any device used by persons to overcome a physical disability and includes but is not limited to a wheelchair, walker or orthopedic appliance.

1	(3) "Client" means an individual who is age 18 and over in need of short-term psychiatric care.
2 3 4	(4) "Construction on-site review" means on-site inspection by the Department, or its designee, of new construction or substantial remodeling to determine compliance by the facility with the National Fire Protection Association (NFPA) Life Safety Code and with this Chapter VI.
5 6 7	(5) "Construction plan review" means the review by the Department, or its designee, of new construction or remodeling plans to determine compliance by the facility with the National Fire Protection Association (NFPA) Life Safety Code and with this Chapter VI.
8 9	(64) "Deficiency" means a violation of regulatory and/or statutory requirements governing acute treatment units, as cited by the Department.
10	(75) "Deficiency list" means a listing of deficiency citations which contains:
11	(a) a statement of the statute or regulation violated; and
12	(b) a statement of the findings, with evidence to support the deficiency.
13	(86) "Department" means the Colorado Department of Public Health and Environment or its designee.
14 15	(97) "Director" means a person who is responsible for the overall operation, daily administration, management and maintenance of the facility.
16 17	(10) "Distinct part" means a contiguous section of a building dedicated to serving as an acute treatment unit.
18	(418) "Facility" means an acute treatment unit.
19 20	(129) "Governing body" means the board of trustees, directors or other governing body in whom the ultimate authority for the conduct of the facility is vested.
21	(4310) "Licensee" means the person or entity to whom:
22 23	(a) a license is issued by the Department pursuant to Section 25-1.5-103 (1) (a), C.R.S., to operate a facility within the definition herein provided, and
24 25	(b) a "27-10" designation has been granted by the Department of Human Services pursuant to Section 27-10-101, et. seq. and 2 CCR 502-1.
26 27	(1411) "Occurrences" means information reported to the Department in accordance with 25-1-124, C.R.S. and Chapter II, General Licensure, Part 3.2 occurrence Reporting.
28	(15) "NFPA" means the National Fire Protection Association.
29 30 31 32 33	(4612) "Owner" means the entity in whose name the license is issued. The entity is responsible for the financial and contractual obligations of the facility. Entity means any corporation, limited liability corporation, firm, partnership, or other legally formed body, however organized. For the purposes of this regulation, the term "owner" is used interchangeably with the terms "applicant" and "licensee."
34 35	(4713) "Plan of correction" means a written plan to be submitted by facilities to the Department for approval, detailing the measures that shall be taken to correct all cited deficiencies.

1 2 3 4 5	(18 <u>14</u>) "Regulated contiguous occupancy" means an occupancy adjacent to the facility that is subject to the life safety code and physical plant requirements established by the Department because such occupancy does not have the necessary fire safety separations between the facility. Such occupancy shall be operated by the facility licensee and shall be used to deliver: [Eff. 09/30/2008]
6	- detoxification services licensed by the Department of Human Services; or
7 8 9	 outpatient services, certified in accordance with Article 10 of Title 27, C.R.S., to assess and determine appropriate placement. For the purposes of this definition, the term "outpatient means less than 24 hours.
10 11	The services provided by the occupancy shall benefit ATU clients, although the occupancy may also provide such services to other populations.
12 13	(1915) "Seclusion room" means a room where a client is placed alone and from where egress is involuntarily prevented. [Eff. 09/30/2008]
14 15	(2016) "Short-term psychiatric care" means services provided to treat persons with mental illness for an average of 3-7 days, but generally no longer than 30 days. [Eff. 09/30/2008]
16 17	(2417) "Staff" means employees; and contract staff intended to substitute for, or supplement staff who provide client care services. [Eff. 09/30/2008]
18 19 20 21	(2218) "Therapeutic diet" means a diet ordered by a physician as part of a treatment of disease or clinical condition, or to eliminate, decrease, or increase specific nutrients in the diet. Examples include, but are not limited to: a calorie counted diet, a specific sodium gram diet, and a cardiac diet. [Eff. 09/30/2008]
22 23	(2319) "Unit" means a locked treatment setting that serves a maximum of sixteen persons. [Eff. 09/30/2008]
24 25 26 27	"Warewashing" means the cleaning and sanitizing of equipment and utensils. For the purposes of this definition, equipment includes but is not limited to kitchen appliances and tables with which food normally comes into contact. For the purposes of this definition, utensils are implements used to prepare, store, transport or serve food. [Eff. 09/30/2008]
28	1.103 DEPARTMENT OVERSIGHT
29	(1) General
30 31 32	(a) Facility Compliance. The governing body shall be responsible for the operation of the facility and for compliance with these regulations. The governing body shall delegate the responsibility for day-to-day operations to the director.
33 34 35 36 37 38	(b) Issuing Licenses. The Department shall issue or renew a license after it is satisfied that the license applicant or licensee is in compliance with the requirements set forth in this Chapter VI and the requirements established by the Division of Mental Health, Department of Human Services. Such license issued or renewed pursuant to this section, other than a provisional license, shall expire one year from the date of issuance or renewal.
39	(2) Licensure Fees [Eff. 09/30/2008]
40	(a) General. Licensure fees are specified in Section 25-3-105 (1)(c), C.R.S.

2 3 4 5 6	established pursuant to Section 25-3-105 (1)(c)(l)(C), C.R.S., facilities with regulated contiguous occupancies are subject to the following licensure renewal fees. (These fees are not applicable to an initial conversion, which is subject to plan review fees under Section 1.103(3)(c)). Where the combined square footage of the ATU and the regulated contiguous occupancies is:
7	(i) 20,000 square feet or less: \$250
8	(ii) over 20,000 square feet: \$500.
9 0 1	(3) Construction Plan Review and Plan Review Fees. In reference to the National Fire Protection Association requirements, the Department is the authority having jurisdiction for state licensure. [Eff. 09/30/2008]
2	(a) When Plan Review is Required [Eff. 04/01/2007]
3 4	(i) Application for an initial license, when such initial license is not a change of ownership. This includes new facility construction and existing structures.
5	(ii) Substantial remodeling which includes, but is not limited to:
16 17 18	(A) Structural additions, of any size or type (including pre-fabricated structures) to or adjacent to the structure and on the property controlled by the facility.
9 20 21	(B) Alteration of an existing space, room, structure, or area of the facility not previously used for providing client services to space used for the delivery of services to clients.
22 23	(C) Any structural alteration that affects 25% or more of the square footage of the existing habitable— 4—floor space, as determined by the Department.
24 25	(D) Installation or substantial alteration—2—of the following systems: fire alarm, sprinkler, kitchen-hood fire-suppression and security.
26 27	Areas, such as unfinished basements and garages, not used for habitable space shall not be included in the calculation of habitable existing building area.
28 29	2 Substantial alteration does not include repairs, replacement in kind, or additions of a single device to a required Life Safety Code system. Substantial alteration does include permanent de-activation of a system.
30	(b) Process for Submission and Approval of Building Plans [Eff. 04/01/2007]
31 32 33 34 35	(i) General. The building plans and plans for the security system, fire alarm system and automatic fire suppression system, subject to plan review under this Chapter VI, shall be submitted in accordance with 6 CCR 1011-1, Chapter II, Part 1, Submission of Construction Plans/Documents and Completion of the Plan Review Process, Section 1.103 and 1.104
36	(ii) Locked areas. The following shall also be submitted as part of the plan review:
37 38	(A) Locking devices for egress doors, egress access doors, and seclusion room doors.
39	(B) Location of locked egress and locked egress access doors.

1 2 3	(C) Drawings and information detailing how the facility will install or construct fencing or other enclosures around all secured outdoor areas, to prevent elopement and maintain the safety of the clients.
4 5	(D) Location, size, and distance from the structure(s) of any secured exterior point of safety used for emergency evacuation purposes.
6	(c) Plan Review Fees [Eff. 09/30/2008]
7	(i) General. Reserved.
8	(ii) Regulated Contiguous Occupancy. Plan review fees for regulated contiguous occupancies are nonrefundable and shall be as listed below.
10 11 12 13 14	(A) Initial conversion of an adjacent occupancy into a regulated contiguous occupancy: base fee of \$1,350 plus \$0.20 per additional square foot ove 20,000 square feet. (The 20,000 square foot threshold applies to the combined square footage of the ATU and one or more regulated contiguous occupancies.)
15 16	(B) Substantial remodeling of a regulated contiguous occupancy: \$500 for the desk audit and \$500 for the onsite review.
17	(43) Citing Deficiencies
18	(a) The Department is authorized to cite deficiencies.
19 20 21	(b) The facility shall respond to a life or limb-threatening deficiency by immediately removing the cause of the life or limb threatening risk and provide evidence, either verbal or written as required by the Department, that the risk has been removed.
22 23	(54) Plans of Correction (POCs). The Department shall require and the facility shall submit a plan of correction in response to cited deficiencies.
24	(a) General
25 26	(i) The facility shall develop a POC, in the format required by the Department, for every deficiency cited by the Department in the deficiency list.
27	(ii) The POC shall be typed or printed legibly in ink.
28 29 30	(iii) The date of correction for deficiencies shall be no longer than 30 calendar days from the date of the mailing of the deficiency list to the facility, unless otherwise required or approved by the Department.
31	(b) Process for Submission and Approval of POC
32 33	(i) A facility shall submit a POC to the Department no later than ten (10) working days of the date of the deficiency list letter sent by the Department.
34 35 36 37	(ii) If an extension of time is needed to complete the POC, the facility shall request an extension in writing from the Department prior to the POC due date. An extension of time may be granted by the Department not to exceed seven (7) calendar days.

1	(iii) The POC is subject to Department approval.
2 3	(6) Penalties – Regulated Contiguous Occupancies. The Department may impose a penalty of up to
5 4 5 6 7 8 9	\$100 per day for a regulated contiguous occupancy that does not comply with the Life Safety Code and physical plant requirements established in this Chapter VI. The facility may be required to submit a plan of correction. The facility may only be assessed a penalty after the facility has had an opportunity to correct the noncompliance. The opportunity to correct shall not extend beyond the timeframe to submit a plan of correction under Section 1.103 (5) and any deadlines set forth in the plan of correction, if one is submitted, as approved by the Department. [Eff. 09/30/2008]
10 11	(75) Facility Reporting Requirements. The facility shall develop and implement policies and procedures for complying with the following reporting requirements. [Eff. 09/30/2008]
12	(a) Occurrences
13 14	 Reporting. The facility shall be in compliance with occurrence reporting requirements pursuant to 6 CCR 1011, Chapter II, Section 3.2.
15	(ii) Facility investigation of occurrences
16 17 18	 (A) Occurrences shall be investigated to determine the circumstances of the event and institute appropriate measures to prevent similar future situations.
19 20 21	(B) Documentation regarding investigation, including the appropriate measures to be instituted, shall be made available to the Department, upon request.
22 23	(C) A report with the investigation findings will be available for review by the Department within five working days of the occurrence.
24 25	(D) Nothing in this Section 1.103 (75)(a) shall be construed to limit or modify any statutory or common-law right, privilege, confidentiality or immunity.
26 27 28	(b) Notification Regarding Relocations. The facility shall notify the Department within 48 hours of the relocation of one or more clients occurs due to any portion of the facility becoming uninhabitable as a result of fire or other disaster.
29 30	(c) Facility Closure. If the closure of a facility by a licensee is pending, the licensee shall notify the Department in writing at least 30 days prior to such closure.
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32	1.106 LINEN AND LAUNDRY SERVICES
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34	(3) Sanitary Conditions
35 36	 (a) Linen and laundry services shall be conducted in a manner designed to prevent contamination of patients and personnel.
37	(b) Staff shall wash their hands after handling soiled linen and before handling clean linen.

1	(c) Storage
2 3	(i) Soiled linen shall be stored separately from clean linen. Soiled linen and clean linen shall be stored in separate enclosed areas.
4 5 6	(ii) Laundry room(s) shall not be used for storage of soiled or clean laundry unless the laundry room is over 100 square foot in area and meets the requirements of NFPA 101, Chapter 18, Section 18.3.2.
7	1.107 INTERIOR AND EXTERIOR ENVIRONMENT
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9	(2) Exterior Environment
10 11 12 13	(a) General. The facility shall provide a clean, sanitary, and secure exterior environment, free of hazards to health and safety. IN ADDITION TO THE INTERIOR COMMON AREAS REQUIRED BY THIS REGULATION, THE FACILITY SHALL PROVIDE A SAFE AND SECURE OUTDOOR AREA FOR THE USE OF CLIENTS YEAR ROUND.
14	(b) Potential Hazards. Exterior areas shall be well maintained.
15 16 17	(i) Maintenance of the grounds. Exterior premises shall be kept free of high weeds and grass, garbage and rubbish. Grounds shall be maintained to prevent hazardous slopes, holes, or other potential hazards.
18 19	(ii) Staircases. Exterior staircases of three (3) or more steps and porches shall have handrails. Staircases and porches shall be kept in good repair.
20 21 22	(<u>iii</u>) FENCING OR OTHER ENCLOSURES THAT PREVENT ELOPEMENT AND PROTECT THE SAFETY AND SECURITY OF THE CLIENTS, SHALL BE INSTALLED AROUND SECURE OUTDOOR AREAS.
23	1.108 PHYSICAL PLANT
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25	(4) Bedrooms
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27 28	(e) Windows. Each bedroom shall have at least one window of eight (8) square feet with a sill height not to exceed 36 inches.
29	<mark>(fe)</mark> Furnishings
30	(i) Each client bedroom shall be equipped as follows for each client:
31 32 33	(A) A comfortable, standard-sized bed equipped with a comfortable, clean mattress, mattress protector, and pillow. Rollaway type beds, cots, folding beds or bunk beds shall not be permitted.
34	(B) A standard-sized chair in good condition.

2	(C) A safe and sanitary method to store the client's towel, such as a breakaway towel rack.
3 4	(gf) Electrical Hazards. Extension cords and multiple use electrical sockets shall be prohibited in client bedrooms.
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6	(6) Seclusion rooms
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8 9 10	(h) Fire Detection and Suppression Equipment. Any sprinkler head in a seclusion room shall be tamper proof, either by being recessed and covered at all times with the manufacturer's recommended cover or institutional style
11	(7) Linen and Laundry
12 13 14 15	(a) The facility may have laundry room(s) no larger than 100 square feet in area equipped with residential style washer(s) and one residential style dryer without such laundry rooms being classified as a hazardous area. These laundry rooms shall not be used for storage of soiled or clean linen.
16 17 18 19 20 21 22	(b) Facilities shall have a separate enclosed area for receiving and holding soiled linen until ready for pickup or processing in addition to a separate enclosed area for clean linen storage. Enclosed areas located inside the structure or attached to the structure, used for soiled linen, shall meet the requirements of NFPA 101, Chapter 18, Section 18.3.2 for hazardous areas. Enclosed areas located inside the structure or attached to the structure, that are over 50 square feet and used for clean linen, shall meet the requirements of NFPA 101, Chapter 18, Section 18.3.2.
23 24	(c) There shall be hand-washing facilities in each area where un-bagged, soiled linen is handled.
25	1.109 FIRE SAFETY EMERGENCY EVACUATION AND EQUIPMENT
26 27 28 29 30 31	These regulations incorporate by reference the National Fire Protection Association's NFPA 101, Life Safety Code, 2006 Edition. Such incorporation does not include later amendments to or editions of the referenced material. The Department of Public Health and Environment maintains copies of the complete text of the incorporated materials for public inspection during regular business hours, and shall provide certified copies of the incorporated material at cost upon request. Information regarding how the incorporated material may be obtained or examined is available from:
32	Division Director
33	Health Facilities and Emergency Medical Services Division
34	Colorado Department of Public Health and Environment
35	4300 Cherry Creek Drive South
36	Denver, CO-80246
37	Phone: 303-692-2800

1 2 3	Copies of the incorporated materials have been provided to the State Publications Depository and Distribution Center, and are available for interlibrary loan. Any incorporated material may be examined at any state publications depository library.
<i>3</i>	at any state publications depository library. (1) General
5	(a) Multiple Buildings Under One License. Any facility operating under one license but
6 7	comprised of multiple buildings shall have the Life Safety Code requirements determined for each building on an individual basis.
8	(2) Compliance with NFPA 101, Life Safety Code Requirements
9	(a) General [Eff. 09/30/2008]
0	(i) Except as noted in Section 109 (2) (b), facilities shall meet the requirements of Chapter 18 "New Health Care Occupancies" of NFPA 101 (2006) "Life Safety
12	Code." In addition, facilities shall meet the requirements referenced in NFPA 101 (2006), Chapter 2 "Referenced Publications."
4	(ii) Facilities with Regulated Contiguous Occupancies. Notwithstanding Section 1.109 (2)(a)(i), facilities with regulated contiguous occupancies shall meet Chapter 18
6	and shall not be subject to the exceptions in 1.109 (2)(b).
7 8	(b) Exceptions. Facilities that have the exceptions listed under subsections (i) Smoke Barriers and (ii) Minimum Construction Requirements, below, shall have a minimum staffing ratio
9	of 1:6 to ensure timely evacuation in case of fire.
20 21	(i) Smoke Barriers. A facility is exempted from the requirements of NFPA 101, Chapter 18, Section 18.3.7.1 (smoke barriers) if:
22 23 24	(A) The facility is equipped with a complete fire alarm system that includes smoke detectors in all corridors, sleeping rooms, and habitable spaces, and
25 26 27	(B) The facility maintains a travel distance of 200 feet or less from any point in the facility to the exterior of the structure, through a required exit, and the required exits lead to an approved, secured exterior point of safety.
28 29 30	(ii) Minimum Construction Requirements. In addition to the acceptable types of building construction listed in NFPA 101, Chapter 18, Table 18.1.6.4, facilities may be type V (000) construction if:
31	(A) The structure is one-story in height, and
32 33	(B) The facility is equipped with a complete fire alarm system that includes smoke detectors in all corridors, sleeping rooms, and habitable spaces.
	(3) Locking devices
34	(a) Locking devices
35	(a) Egress and egress access doors. Locking devices, used to secure facility egress doors and egress doors, shall be in compliance with the following:
34 35 36 37	(a) Egress and egress access doors. Locking devices, used to secure facility egress doors

2	(iii) Doors in the required means of egress may have locking arrangements without delayed egress provided that all staff can readily unlock such doors at all times.
3	(iv) Facilities may use electric or electronic locking devices if:
4	(A) All staff can readily unlock such doors at all times.
5 6 7	(B) For emergency purposes, the facility evacuates clients to an approved, secured, exterior, point of safety, OR, the facility is equipped with smoke barriers as required by NFPA 101, Chapter 18, Section 18.3.7.1 of.
8 9	(v) Doors in the required means of egress that are equipped with electronic or electrical locking devices must:
10	(A) Unlock upon loss of power controlling the lock or locking mechanism, and
11 12	(B) Unlock upon actuation of the approved supervised automatic sprinkler system, and
13 14	(C) Unlock upon activation of no more than two smoke detectors of the approved automatic fire detection system.
15 16 17	(b) Seclusion Rooms. Seclusion rooms are permitted to have door-locking arrangements, provided that at all times keys are carried by the staff, responsible for client care and treatment. These keys shall have a distinct color and feel.
18	(c) Secure Outdoor Area {Moved to 1.107(2)}
19 20	(ia) In addition to the interior common areas required by this regulation, the facility shall provide a safe and secure outdoor area for the use of clients year round.
21	(iib) Fencing or other enclosures
22 23 24	(A) Fencing or other enclosures, that prevent elopement and protect the safety and security of the clients, shall be installed around secure outdoor areas.
25 26 27 28	(B) Where a locked outdoor fence gate restricts access to the public way, all staff must carry gate lock keys on their person at all times while on duty, unless the gate is locked using electronic or electrical devices authorized under Section 1.109 (3)(a)(v).
29	(41) Fire Drills EMERGENCY EVACUATION DRILLS
30 31	(a) During the first year of operation, fire EMERGENCY EVACUATION drills shall be conducted once per shift per month.
32 33	(b) After the first year of operation, fire EMERGENCY EVACUATION drills shall be conducted once per shift per quarter.
34 35 36	(c) Fire EMERGENCY EVACUATION drills conducted during normal sleeping hours do not require the activation of the fire alarm system. All other fire EMERGENCY EVACUATION drills shall include the activation of the fire alarm system.

2 3		drills. Client participation in fire EMERGENCY EVACUATION drills conducted during normal sleeping hours is not required.	
4	(<mark>52</mark>)	Equipment	
5 6		(a) First Aid. First aid equipment shall be maintained on the premises in a readily available location and staff shall be instructed in its use.	
7		(b) Telephone	
8 9		(i) There shall be at least one telephone, not powered by the facility's electrical system for use by the staff for emergencies.	,
10 11 12		(ii) Current phone number and location of the nearest hospital, and current phone numbers of ambulance service, poison control center, fire station and the police shall be shall be readily accessible to staff.	
13 14 15 16 17	(6)	Fire Suppression or Detection Equipment. Any fire suppression or detection equipment shall be operational and functional. The facility must fully document all inspections for fire alarm and smoke detection systems, automatic fire sprinkler systems and fixed kitchen systems with writter records maintained at the facility for review. All inspections and documentation shall be per NFPA requirements.)
18 19		(a) Fire alarm and smoke detection systems must be inspected by trained and qualified personnel at least annually.	
20 21 22 23		(b) Automatic fire sprinkler systems must be inspected annually, by a sprinkler contractor currently registered with the State of Colorado — Division of Fire Safety to perform inspection and maintenance services. The facility shall perform and document, main drain tests and flow tests on a quarterly basis.	
24 25		(c) Fixed kitchen extinguishing systems must be inspected by trained and qualified personnel on a semi-annual basis.	
26 27 28 29 30 31	3 (-	(d) Portable fire extinguisher. The facility shall have a portable fire extinguisher of the ABC type and of at least 3-pound—capacity—3—, located in the kitchen area, common area, and at least one on every level of the facility. Fire extinguishers shall be checked monthly to ensure that they are mounted in a location that is easily accessible and that the pressure gauge is within the safe zone. Portable fire extinguishers shall be inspected annually, an tagged by a qualified fire extinguisher maintenance contractor.)
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